

## SPECIAL EVENT RAFFLE LICENSE APPLICATION

**A COMPLETE APPLICATION MUST BE RECEIVED AT LEAST 60 DAYS PRIOR TO THE INTENDED START OF GAMING OR BEFORE THE EXPIRATION OF YOUR CURRENT LICENSE.**

### GENERAL ORGANIZATION INFORMATION

**\* Information provided in this section may be available to the public on the Department's website.**

1. Organization's Federal Employer Identification No. \_\_\_\_\_ Expiration date: \_\_\_\_\_

2. Organization's Name: \_\_\_\_\_ SER- \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_ Telephone: (\_\_\_\_) \_\_\_\_\_

Email Address: \_\_\_\_\_ Web Address: \_\_\_\_\_

3. Organization's Physical Location: \_\_\_\_\_

City: \_\_\_\_\_ County: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

Name of any other businesses or charitable organizations that are operated from that location:

\_\_\_\_\_  
\_\_\_\_\_

4. Does your organization have offices in any other county in Kentucky? Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes," please provide the following for each office (*attach additional pages, if necessary*).

Physical Address: \_\_\_\_\_

City: \_\_\_\_\_ County: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

Date organization was established in the county: \_\_\_\_\_

Name of any other businesses or charitable organizations that are operated from that location:

\_\_\_\_\_  
\_\_\_\_\_



**ORGANIZATIONAL STRUCTURE**

5a. Does your organization have a 501(c)(7) designation from the Internal Revenue Service?

Yes \_\_\_\_\_ No \_\_\_\_\_

If 'Yes', attach a copy of the letter or legal document issued by the IRS granting tax-exempt status.

***If you have answered 'No,' your organization is currently ineligible for a Special Event Raffle Charitable Gaming License – DO NOT CONTINUE FURTHER WITH THIS APPLICATION.***

6. What date was the organization established in Kentucky?

\_\_\_\_\_

(month)

(year)

7a. County in which charitable gaming will be conducted: \_\_\_\_\_

7b. Date office was established in the county in which charitable gaming will be conducted:

\_\_\_\_\_

(month)

(year)

8. Provide a copy of the organization's *Articles of Incorporation*, or if the organization is not currently incorporated or the statement of purpose is not outlined within the *Articles*, provide a statement of the purpose(s) for which the organization was established:

Statement of Purpose defined below: \_\_\_\_\_

*Articles of Incorporation* attached or are on file: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. Provide a copy of the organization's *Bylaws*, or if the organization does not have *Bylaws*, or the organizational structure and management is not outlined in the *Bylaws*, provide a statement describing the organizational structure and management:

Statement of Organizational Structure and Management defined below: \_\_\_\_\_

*Bylaws* attached or are on file: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



## CEO/CFO INFORMATION

11. **Chief Executive Officer**  
The director of the organization or the person who has legal authority to direct the management of the organization.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_  
DOB SSN

Home Mailing Address

\_\_\_\_\_  
Street Address/PO Box

\_\_\_\_\_  
City State

\_\_\_\_\_  
County Zip Code

( ) ( ) ( )  
Office Phone Cell Phone Home Phone

Email Address: \_\_\_\_\_

**Home Physical Address**  
(If different from above)

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City State

\_\_\_\_\_  
County Zip Code

**Chief Financial Officer**  
The person who is responsible for overseeing the financial activities of the organization; the custodian of the gaming session records; and responsible for ensuring that all records are accurate, complete, and maintained.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_  
DOB SSN

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\_\_\_\_\_  
County Zip Code

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Email Address: \_\_\_\_\_

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(If different from above)

\_\_\_\_\_  
Street Address

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City State

\_\_\_\_\_  
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**NOTE: THE ABOVE-LISTED OFFICERS ARE SUBJECT TO A STATE AND FBI CRIMINAL HISTORY CHECK WHICH MAY REQUIRE FINGERPRINTING. IF NEEDED, ADDITIONAL INFORMATION WILL BE FORWARDED TO YOU.**

**PURSUANT TO KRS 238.535(14)(c), IN APPLYING FOR A LICENSE, THE INFORMATION TO BE SUBMITTED SHALL INCLUDE BUT NOT BE LIMITED TO THE NAMES, ADDRESSES, DATES OF BIRTH, AND SOCIAL SECURITY NUMBERS OF ALL OFFICERS OF THE ORGANIZATION.**

**OTHER OFFICER INFORMATION**

12. Provide the following information for all other Officers not listed in *Question 16* above. All elected or appointed officers must be listed and the list must be in accordance with the organizational structure or *Bylaws*.

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_  
DOB SSN

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_  
DOB SSN

**Home Mailing Address**

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Street Address/PO Box

\_\_\_\_\_  
Street Address/PO Box

\_\_\_\_\_  
City State

\_\_\_\_\_  
City State

\_\_\_\_\_  
County Zip Code

\_\_\_\_\_  
County Zip Code

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Office Phone Cell Phone Home Phone

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Office Phone Cell Phone Home Phone

Email Address: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Home Physical Address**  
(If different from above)

**Home Physical Address**  
(If different from above)

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City State

\_\_\_\_\_  
City State

\_\_\_\_\_  
County Zip Code

\_\_\_\_\_  
County Zip Code

(Continued)

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_  
DOB SSN

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DOB SSN

**Home Mailing Address**

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(If different from above)

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(If different from above)

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Street Address

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City State

\_\_\_\_\_  
City State

\_\_\_\_\_  
County Zip Code

\_\_\_\_\_  
County Zip Code

(Attach additional pages if necessary.)

# EMPLOYEES, MEMBERS, AND GAMING CHAIRPERSON INFORMATION

**NOTE: CHAIRPERSONS ARE SUBJECT TO A STATE AND/OR FBI CRIMINAL HISTORY CHECK WHICH MAY REQUIRE FINGERPRINTING.**

13. Provide the following information for all employees and members of the organization *who will be involved in the management and supervision of charitable gaming*. You must designate at least two (2) individuals, other than the Chief Executive Officer of the organization, as chairpersons. The gaming chairpersons must be members, officers, or employees as described in the Bylaws of the organization. Please check the "chairperson" box for all employees or members who will be a chairperson.

Name: \_\_\_\_\_

Employee     Member     Chairperson

If **employee**, please provide the job title or position held and describe regular job duties:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

DOB / /                      SSN - -

**Home Mailing Address**

\_\_\_\_\_  
 Street Address/PO Box

\_\_\_\_\_  
 City    State

\_\_\_\_\_  
 County    Zip Code

( ) ( ) ( )  
 Office Phone    Cell Phone    Home Phone

Email Address: \_\_\_\_\_

**Home Physical Address**  
 (If different from above)

\_\_\_\_\_  
 Street Address

\_\_\_\_\_  
 City    State

\_\_\_\_\_  
 County    Zip Code

Name: \_\_\_\_\_

Employee     Member     Chairperson

If **employee**, please provide the job title or position held and describe regular job duties:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

DOB / /                      SSN - -

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( ) ( ) ( )  
 Office Phone    Cell Phone    Home Phone

Email Address: \_\_\_\_\_

**Home Physical Address**  
 (If different from above)

\_\_\_\_\_  
 Street Address

\_\_\_\_\_  
 City    State

\_\_\_\_\_  
 County    Zip Code

(Continued)

Name: \_\_\_\_\_

Employee  Member  Chairperson

If **employee**, please provide the job title or position held and describe regular job duties:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DOB / / SSN - -

**Home Mailing Address**

Street Address/PO Box

City State

County Zip Code

( ) ( ) ( )  
Office Phone Cell Phone Home Phone

Email Address: \_\_\_\_\_

**Home Physical Address**  
(If different from above)

Street Address

City State

County Zip Code

Name: \_\_\_\_\_

Employee  Member  Chairperson

If **employee**, please provide the job title or position held and describe regular job duties:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DOB / / SSN - -

**Home Mailing Address**

Street Address/PO Box

City State

County Zip Code

( ) ( ) ( )  
Office Phone Cell Phone Home Phone

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(Attach additional pages, if necessary.)

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## DISTRIBUTIONS TO LICENSED CHARITABLE ORGANIZATIONS

14. List all of the licensed charitable organizations which will receive distributions from your organization pursuant to KRS 238.535(14)(b)?

\_\_\_\_\_  
Licensed Charitable Organization Name

\_\_\_\_\_  
ORG -  
KY License Number

\_\_\_\_\_  
Licensed Charitable Organization Name

\_\_\_\_\_  
ORG -  
KY License Number

\_\_\_\_\_  
Licensed Charitable Organization Name

\_\_\_\_\_  
ORG -  
KY License Number

\_\_\_\_\_  
Licensed Charitable Organization Name

\_\_\_\_\_  
ORG -  
KY License Number

**PURSUANT TO KRS 238.525(6), YOU MUST NOTIFY THE DEPARTMENT OF CHARITABLE GAMING, IN WRITING, OF ANY CHANGE IN THE INFORMATION PROVIDED IN THIS APPLICATION WITHIN THIRTY (30) DAYS OF THE DATE THE CHANGE OCCURRED.**

**CERTIFICATION (BY AN OFFICER)**

I certify, under penalty of perjury, that I am an Officer authorized by the applicant to make application for licensure and that I have examined this application for licensure, including any accompanying material, and all information submitted is, to the best of my knowledge and belief, true and correct. I further certify that the applicant agrees to comply with all applicable laws and administrative regulations regarding charitable gaming in the Commonwealth of Kentucky.

Signature: \_\_\_\_\_

Print name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Submit the completed original application (including all required attachments) along with the \$25.00 fee made payable to "Kentucky State Treasurer" to:

**COMMONWEALTH OF KENTUCKY  
PUBLIC PROTECTION CABINET  
DEPARTMENT OF CHARITABLE GAMING  
DIVISION OF LICENSING & COMPLIANCE  
132 BRIGHTON PARK BOULEVARD  
FRANKFORT, KY 40601  
Email: [dcg.info@ky.gov](mailto:dcg.info@ky.gov)  
Fax: (502) 573-6625**

If you need assistance completing this application, please call the Licensing Branch at (502) 573-5528 or Toll-free in Kentucky, (800) 729-5672.

Visit our website at: <http://www.dcg.ky.gov>

**Applicant Checklist**

Before submitting the application, make sure you have:

- Attached lease (if applicable)
- Enclosed \$25 fee
- All blanks are completed
- Enclosed evidence of tax-exempt status

**YOUR APPLICATION WILL NOT BE PROCESSED UNTIL PAYMENT IS RECEIVED AND ALL INFORMATION IS COMPLETE.**