

## DISTRIBUTOR LICENSE APPLICATION

**KRS 238.530(3) PROVIDES THAT NO PERSON WHO IS LICENSED AS A DISTRIBUTOR SHALL BE LICENSED AS A MANUFACTURER AND NO PERSON LICENSED AS A MANUFACTURER SHALL BE LICENSED AS A DISTRIBUTOR.**

**A COMPLETE APPLICATION MUST BE RECEIVED AT LEAST SIXTY (60) DAYS PRIOR TO THE INTENDED START OF YOUR LICENSE OR THE EXPIRATION OF YOUR CURRENT LICENSE.**

### GENERAL DISTRIBUTOR INFORMATION

1. **Name of Applicant (Distributor):** \_\_\_\_\_  
 New  Renewal DIS- \_\_\_\_\_
  
2. **Is applicant organized as:** \_\_\_\_\_  
Corporation \_\_\_\_\_  
Partnership \_\_\_\_\_  
Limited Liability Co. (LLC) \_\_\_\_\_  
Sole proprietorship \_\_\_\_\_  
Other \_\_\_\_\_  
  
If "other", explain in detail: \_\_\_\_\_  
\_\_\_\_\_
  
3. **Street Address of applicant:** \_\_\_\_\_  
Mailing address (if different from above): \_\_\_\_\_  
City: \_\_\_\_\_ State/Zip: \_\_\_\_\_ County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_ Fax number: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_ Website: \_\_\_\_\_
  
4. **Federal employer tax identification number:** \_\_\_\_\_
  
5. **Date of Birth (If applicant is an individual):** \_\_\_\_\_



## OFFICER INFORMATION

6a. The following information is required for the chief executive officer and the chief financial officer of the applicant. Note: These officers shall be subject to a state and FBI criminal history background check, and fingerprinting will be required. Additional information relating to the procedures for the background checks will be forwarded to the applicant.

**Chief Executive Officer:**

Name: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

**Chief Financial Officer:**

Name: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

6b. The following information is required for officers of the applicant not listed in question #6a above:

Name: \_\_\_\_\_  
Officer's title: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

Name: \_\_\_\_\_  
Officer's title: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

Name: \_\_\_\_\_  
Officer's title: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

Name: \_\_\_\_\_  
Officer's title: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

*(Attach additional pages, if necessary)*

## FINANCIAL INTEREST

6c. The following information is required for each individual who has a 10% or greater financial interest in the applicant (disseminator). Note: These individuals shall be subject to state and FBI criminal history background check, and fingerprinting will be required. Additional information relating to the procedures for the background checks will be forwarded to the applicant.

Name: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

Name: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

*(Attach additional pages, if necessary)*

## MANAGEMENT

7. List all other persons with management responsibilities not listed above:

Name: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

Name: \_\_\_\_\_  
Date of birth: \_\_\_\_\_  
Social Security number: \_\_\_\_\_

**Note: PO Box is not acceptable**

**Note: PO Box is not acceptable**

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

Home Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
County: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_  
Email address: \_\_\_\_\_

Please provide job title or position held and describe regular job duties:

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\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

*(Attach additional pages, if necessary)*

## DISTRIBUTORS/MANUFACTURERS

8. Please list the names and locations of all licensed manufacturers and other distributors from which you currently, or plan to, purchase charitable gaming supplies and equipment.

Name: \_\_\_\_\_  
Ky. License number: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_

Name: \_\_\_\_\_  
Ky. License number: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_

Name: \_\_\_\_\_  
Ky. License number: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_

Name: \_\_\_\_\_  
Ky. License number: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_

*(Attach additional pages, if necessary)*

9. Please list all locations, both in and out of Kentucky, from which charitable gaming supplies are received, distributed, or stored.

Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Territory: \_\_\_\_\_  
Country: \_\_\_\_\_

Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Territory: \_\_\_\_\_  
Country: \_\_\_\_\_

Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Territory: \_\_\_\_\_  
Country: \_\_\_\_\_

Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Territory: \_\_\_\_\_  
Country: \_\_\_\_\_

Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Territory: \_\_\_\_\_  
Country: \_\_\_\_\_

Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Territory: \_\_\_\_\_  
Country: \_\_\_\_\_

*(Attach additional pages, if necessary)*

## REGISTERED AGENT

10. If applicant is not a resident of the Commonwealth of Kentucky, you must provide the name and address of the applicant's registered agent in Kentucky. PO Box is not acceptable.

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
Telephone: ( ) \_\_\_\_\_

## GENERAL INFORMATION

**11. Is applicant currently licensed or permitted to distribute charitable gaming supplies and equipment in any other states, territories, or countries?**

Yes or  No

If "yes," please list the state, territory, or country:

State/territory/country: \_\_\_\_\_ State/territory/country: \_\_\_\_\_  
State/territory/country: \_\_\_\_\_ State/territory/country: \_\_\_\_\_

*(Attach additional pages, if necessary)*

**12. Has the applicant had any disciplinary action taken against it by regulatory authorities in any other states, territories, or countries?**

Yes or  No

If "yes", state when, by what regulatory authority, and on what grounds:

\_\_\_\_\_

\_\_\_\_\_

**13. Has the applicant ever been denied a license or permit in any state, territory or country?**

Yes or  No

If "yes", state when, by what regulatory authority, and on what grounds:

\_\_\_\_\_

\_\_\_\_\_

**14. Has the applicant had any disciplinary action taken by any other regulatory authorities in the Commonwealth of Kentucky?**

Yes or  No

If "yes", explain in detail the circumstances:

\_\_\_\_\_

\_\_\_\_\_

**15. Has applicant or any individual named in questions 6a, 6b, or 6c of this application been convicted of a crime in federal court or the courts of any state, the District of Columbia, or any territory of the United States?**

Yes or  No

If "yes", describe in detail: \_\_\_\_\_

\_\_\_\_\_

**16. Is the applicant or any individual named in question 6a, 6b, or 6c of this application under indictment in federal court or the courts of any state, the District of Columbia, or any territory of the United States?**

Yes or  No

If "yes", describe in detail: \_\_\_\_\_

\_\_\_\_\_



## SUPPLIES AND EQUIPMENT

17. What charitable gaming supplies and equipment are provided by your company?

- Bingo paper
- Charity game tickets (pulltabs)
- Card-minding devices
- Electronic pulltab devices
- Paper pulltab dispensers
- Bingo flash boards and blowers
- Festival or carnival type games
- Monte Carlo type games
- Other: \_\_\_\_\_

The applicant shall notify the Department of Charitable Gaming in writing of any changes in responses to questions 1-17 above within 30 days of the date the change occurred.

### CERTIFICATION

I certify under penalty of perjury that I am an officer authorized by the applicant to make application for licensure and that I have examined this application for licensure, including accompanying materials, and all information submitted is, to the best of my knowledge and belief, true and correct. I further certify that the applicant agrees to comply with all applicable laws and administrative regulations regarding charitable gaming in the Commonwealth of Kentucky.

Signature: \_\_\_\_\_

Print name: \_\_\_\_\_

Officer's title: \_\_\_\_\_

Date: \_\_\_\_\_

Submit the completed original application (including all required attachments) along with the \$25.00 fee made payable to "Kentucky State Treasurer" to:

Public Protection Cabinet  
Department of Charitable Gaming  
Division of Licensing & Compliance  
500 Mero Street 2NW24  
Frankfort, KY 40601  
e-mail: [dcg.info@ky.gov](mailto:dcg.info@ky.gov)  
fax: (502) 573-6625

If you need any help completing this application, please call the Licensing Branch at (502) 573-5528 or toll-free in Kentucky, (800) 729-5672.

Visit our website at:  
<http://www.dcg.ky.gov>